Docket Number **Application Number** 01-1055 09/967,272 Applicants INFORMATION DISCLOSURE Carlton Bartels et al. STATEMENT BY APPLICANT Filing Date Group Art Unit September 28, 2001 3691 U.S. PATENT DOCUMENTS Examiner Cite Document Number Date Name of Patentee Class Sub-Class Filing Date Initials No. 2004/0088179 A1 05/06/04 Cogen et al. FOREIGN PATENT DOCUMENTS Country Sub-Class Examiner Cite Foreign Patent Document Date Class Translation Initials No. WO 02/037433 05/10/02 **PCT** NON PATENT LITERATURE DOCUMENTS Examiner Cite Author, Title, date, pages, volume, publisher, city and/or country where published Initials No. Copy of U.S. Patent Application Serial No. 09/967,375, entitled "Systems and Methods for Electronic Trading of Carbon Dioxide Equivalent Emission Reductions", filed September 28, 2001 in the name of Carlton Bartels et al., (Attorney Docket No. 01-1039) Copy of U.S. Patent Application Serial No. 11/204,825, entitled "Systems and Methods for Electronic Trading of Carbon Dioxide Equivalent Emission Reductions", filed August 16, 2005 in the name of Carlton Bartels et al., (Attorney Docket No. 01-1039-1) Date Considered **Examiner** Signature

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Docket Number	Application Number	Application Number	
01-1055	09/967,272		
Applicants Carlton Bartels et al.	,		
Filing Date September 28, 2001	Group Art Unit		

September 28, 2001 3691 NON PATENT LITERATURE DOCUMENTS Examiner Cite Author, Title, date, pages, volume, publisher, city and/or country where published Initials Copy of U.S. Patent Application Serial No. 10/824,954, entitled "Systems and Methods for Trading Emission Reduction Benefits", filed April 14, 2004 in the name of Stephen Drummond et al., (Attorney Docket No. 03-1077) USPTO Office Action for Application No. 10/824,954, January 23, 2008, 35 pp. USPTO Office Action for Application No. 10/824,954, September 3, 2008, 11 pp. USPTO Office Action for Application No. 09/967,375, November 7, 2003, 7 pp.

	USPTO Office Action for Application No. 09/967,375, May 18, 2004, 6 pp.
	USPTO Office Action for Application No. 09/967,375, September 28, 2005, 7 pp.
	USPTO Office Action for Application No. 09/967,375, March 9, 2006, 7 pp.
	USPTO Examiner Interview Summary Record, for Application No. 09/967,375, May 11, 2006, 3 pp.
	USPTO Examiner Interview Summary Record, for Application No. 09/967,375, December 13, 2005, 2 pp.
	EIN Publishing Daily Newsletter "Global Warming Today", dated October 26, 2000.
Examiner Signature	Date Considered